



CorporateGuard Premier – Non U.S. Securities – Corporate Liability Insurance

Notice: Statement pursuant to Section 25(5) of the Insurance Act (Cap 142) or any amendments thereof; You are to disclose in this application, fully and faithfully, all the facts which you know or ought to know, otherwise the policy issued may be void and you may receive nothing from this policy.

This policy, issued by AIG Asia Pacific Insurance Pte. Ltd., contains coverage on a claims-made and notified basis. This means that the policy only covers **Claims** (as defined) first made against you during the **Policy Period** (as defined) and notified to the **Insurer** in writing during the **Policy Period**. The policy does not provide cover for any **Claims** made against you during the **Policy Period** if at any time prior to the commencement of the **Policy Period** you became aware of facts which might give rise to those **Claims** being made against you.

This policy does not cover **Claims** arising out of, based upon or attributable to any:

- a) of the facts alleged, or to the same or related **Wrongful Acts** alleged or contained, in any **Claim** which has or could have been reported, or in any circumstances of which notice was given, under any policy of which this policy is a renewal or replacement or which it may succeed in time; or
- b) as at the **Continuity Date**, any pending or prior: (a) litigation; or (b) administrative or regulatory proceeding or official investigation, arbitration or adjudication of which an **Insured** had notice, or alleging or derived from the same or essentially the same facts as alleged in such pending or prior litigation or administrative or regulatory proceeding or official investigation, arbitration or adjudication.

1. Name of the **Policyholder**: _____

2. In the last 12 months, has there been:

(a) any material change in the business activities of the **Company**? Yes No

3. Please answer the following question with respect to the **Company's** latest audited financial statements by reference to the calculation method statement made:

(a) Net tangible assets of the **Company** are determined using the following calculation: total assets less intangible assets less total liabilities. Based upon this method of determination, does the **Company** have positive net tangible assets? Yes No

4. In the last 12 months has your organisation opened any new offices overseas? Yes No
If so, in which countries / territories? *(please detail below)*:

5. In the last 12 months, other than matters which have already been notified to the Insurer:

(a) Has there ever been, or is there now pending, a **Claim** against any directors or officers in their capacity as directors or officers of the **Company** or its **Subsidiaries**? Yes No

(b) Has any director or officer of the **Company** or its **Subsidiaries** ever been the subject of an inquiry or investigation in their capacity as a director or officer of any company? Yes No

If you have answered "Yes" to any of the above questions, please provide full details using a separate attachment. Please note that the information provided will need to be reviewed by an underwriter and the attached indicative terms may be subject to change.



Declaration

I declare that I have made all necessary enquiries into the accuracy of the responses given in this proposal and confirm that the statements and particulars in this proposal are true and complete and that no material facts have been omitted, misstated or suppressed. I agree that should any of the information given by us alter between the date of this proposal and the inception date of the insurance to which this proposal relates, I will give immediate notice thereof to the insurer.

I agree and consent, and if I am submitting information relating to another individual, I represent and warrant that I have the authority to provide that information to AIG, I have informed the individual about the purposes for which his/her personal information is collected, used and disclosed as well as the parties to whom such personal information may be disclosed by AIG, as set out in the contents of the consent clause contained below and the individual agrees and consents, that AIG may collect, use and process my/his/her personal information (whether obtained in this application form or otherwise obtained) and disclose such information to the following, whether in or outside of Singapore: (i) AIG's group companies; (ii) AIG's (or AIG's group companies') service providers, reinsurers, agents, distributors, business partners; (iii) brokers, my/his/her authorised agents or representatives, legal process participants and their advisors, other financial institutions; (iv) governmental / regulatory authorities, industry associations, courts, other alternative dispute resolution forums, for the purposes stated in AIG's Data Privacy Policy which include:

- a) Processing, underwriting, administering and managing my/his/her relationship with AIG;
- b) Audit, compliance, investigation and inspection purposes and handling regulatory / governmental enquiries;
- c) Compliance with legal or regulatory obligations, risk management procedures and AIG internal policies;
- d) Managing AIG's infrastructure and business operations; and
- e) Carrying out market research and analysis and satisfaction surveys.

Note: Please refer to (and if submitting information relating to another individual, refer such individual to) the full version of AIG's Data Privacy Policy found at http://www.aig.com.sg/sg-privacy_1030_237853.html before you provide your consent, and/or the above representation and warranty.

I confirm that I am authorized by the Company and its directors to complete, sign and submit this proposal on behalf of the Company and its directors.

Signed _____

Title _____

(Must be signed by Chairman of the Board or President)

Company _____

Date _____

Signing this proposal form does not oblige the Applicant to purchase any insurance.